

09 09 VJ

8625  
BJ

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
07200/013001

In Re Application Of: Shinya ARAI

Serial No.

09/867,072

Filing Date

May 29, 2001

Examiner

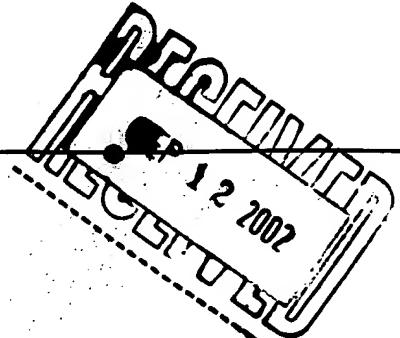
Group Art Unit

3625

Title: SHOPPING INFORMATION PROVIDING SYSTEM



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231



**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**RECEIVED**

SEP 10 2002

**GROUP 3600**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
07200/013001

In Re Application Of: Shinya ARAI

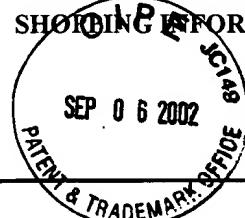
Serial No.  
09/867,072

Filing Date  
May 29, 2001

Examiner

Group Art Unit  
3625

Title: **SHOPPING INFORMATION PROVIDING SYSTEM**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: September 6, 2002

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney Street, Suite 2800  
Houston, Texas 77010

Telephone: 713-228-8600  
Facsimile: 713-228-8778

**RECEIVED**

SEP 10 2002

**GROUP 3600**

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Shinya ARAI

Docket No.

07200/013001

Serial No.  
09/867,072Filing Date  
May 29, 2001

Examiner

Group Art Unit  
3625

Invention: SHOPPING INFORMATION PROVIDING SYSTEM



I hereby certify that this Information Disclosure Statement w/references  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.  
20231-0001 on September 6, 2002

(Date)

Tawana L. Garcia

(Typed or Printed Name of Person Mailing Correspondence)

Tawana L. Garcia

(Signature of Person Mailing Correspondence)

EV 057538446 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

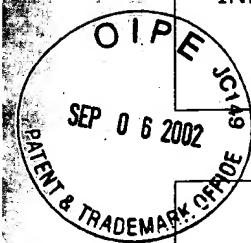
**RECEIVED**

SEP 10 2002

**GROUP 3000**

## FORM PTO-1449 (MODIFIED)

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: 07200/013001	SERIAL NO.: 09/867,072
	APPLICANT: Shinya ARAI	
	FILING DATE: May 29, 2001	ART UNIT: 3625



## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	APPLICANT'S OR PATENTEE'S NAME
				RECEIVED
				SEP 10 2002
				GROUP 3600

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES      NO
	A1	9-265492	10/07/1997	Japan	
	A2	9-274629	10/21/1997	Japan	

## OTHER DOCUMENTS

EXAMINER INITIALS	REF	(Include Author, Title, Date, Pertinent Pages, etc.)
	A3	Patent Abstracts of Japan, Publication no. 09-274629, published 10/21/1997, 1 page
	A4	Patent Abstracts of Japan, Publication no. 09-265492, published 10/07/1997, 2 pages
	A5	Japanese Office Action issued to patent application no. 2000-158679, dated June 26, 2002, 3 pages

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.